1 of 1 FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. SERIAL NO. (REV. 7-80) PATENT AND TRADEMARK OFFICE 473P09US 09/465,396 LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary) APPLICANT Michael R. Stinson et al FILING DATE GROUP December 17, 1999 2747 U.S. PATENT DOCUMENTS EXAMINER INITIAL DOCUMENT NUMBER NAME CLASS DATE FLUNG DATE AA 5 742 693 Apr.21/98 Elko BTP AB 5 539 834 Jul.23/96 Bartlett et al AC 5 592 441 Jan. 7/97 Kuhn AD 4 802 227 Jan.31/89 Elko et al ΑE 4 904 078 Feb.27/90 Gorike BTP AF AG AΗ FOREIGN PATENT DOCUMENTS TRANSLATION DOCUMENT NUMBER COUNTRY CLASS DATE CLASS NO AΙ AJ AK AL AM OTHER REFERENCES (Including Author, Tide, Data, Pertinent Pages, Etc.) AN "Super directivity design for a sphere-buffed microphone array" J. Acoust. BTP Soc. Am., Vol. 103, No. 5, May 1998, Kawahara and Fukudome "A steerable and variable first-oder differential microphone array", Intl. AO Conf. On accoustics, Speech and Signal, Processing, 1997, Elko and Pong "A Method of Interpolating the Diffractive Information of the Spere-Baffled AP Microphone in the Sound Field of Sperical Wave" Dept. Of Acoustic Design, BTP Japan, Fukudome AQ EXAMINER 3 2 2. DATE CONSIDERED 2306

PTO/SB/08a (08-03) Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE a collection of information unless it contains a valid OMB control number. Under the Panerwork Reduction required to respond to

Substitute for form 1449A/PTC

Sheet

INFORMATION DISCL STATEMENT BY ARRI

(Use as many sheets as necessary

Complete if Known						
Application Number	09/465,396					
Filing Date	December 17, 1999					
First Named Inventor	Michael STINSON					
Art Unit	2644					
Examiner Name	Brian T. Pendleton					
Attorney Docket Number	12553-119					

Examiner	Cite No.	` Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
Initials*	No.'	Number-Kind Code ^{2 (2 known)}	MM-DD-YYYY	Applicant of Cited Document		
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-	•	· · · · · · · · · · · · · · · · · · ·		
		US-				
		US-				
		US-		·		
		US-		·		
		US-		·		
		US-				
		US-			,	
		US-				
		US-				
		US-		· ·		

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ (Number ⁴ Kind Code ⁸ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	7°	
3 7P		EP 0 869 697	10-07-1998				
						┢	
	l ,			l. ————————————————————————————————————			

Examiner Signature	3-	7	2	 	Date Considered	2 3106	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.